

Is that a question?

Learning to identify questions in early speech to children

Yu'an Yang, Daniel Goodhue, Valentine Hacquard, Jeffrey Lidz

University of Maryland, College Park



Commit22

Clause types

Cross-linguistically, there are different **clause types**:

- | | |
|-------------------|---------------|
| (1) Is that Bert? | Interrogative |
| (2) That's Bert! | Declarative |
| (3) Look at Bert! | Imperative |

Speech acts

These clause types are used to perform different **speech acts**:

- | | | |
|-----|---------------|------------------------------|
| (4) | Is that Bert? | Interrogative ~ Question |
| (5) | That's Bert! | Declarative ~ Assertion |
| (6) | Look at Bert! | Imperative ~ Request/Command |

Puzzle: part 1

(7) Is that Bert?

(8) That's Bert!

- ▶ How do children figure out which features associate with interrogatives?

Challenge

We cannot 'build in' certain features (e.g. subject-aux inversion) into interrogativity, because:

- ▶ The surface features of interrogatives differs from language to language:
- ▶ Even in English, subject-aux inversion is not always the feature for interrogatives:

Mandarin

(9) Xixue le.
Snow ASP
"It is snowing."

(10) Xixue le ma.
Snow ASP Q
"Is it snowing?"

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(11) What **did** **you** eat?

(12) **Who** **ate** the cake?

Puzzle: part 2

(13) Is that Bert?

(14) That's Bert!

- ▶ Even if children can use the morpho-syntactic features to cluster sentences in some way, how do they figure out that a certain group of sentences are interrogatives?

Challenge

One possibility is to use the speech act information:

(15) Is that Bert?

$[_{ACTP?} \text{ Is } [_{CMP} \vdash t_{is} [_{TP} \text{ that } t_{is} \text{ Bert }]]]$

(16) That's Bert!

$[_{ACTP} \cdot [_{CMP} \vdash [_{TP} \text{ that is Bert }]]]$

ACTP might help children identify which group of sentences are interrogatives.

- ▶ But how do children figure out the speech act information?
 - ▶ Adults use clause type information (i.e. (15) is an interrogative and therefore a question)
 - ▶ Circular: children need speech act information to identify clause types, but to identify speech act, we use clause type information.

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(17) Is that Bert?

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Puzzle: part 3

(23) Is that Bert?

(24) That's Bert!

- ▶ Are there any other cues for speech act information?

Challenge

- ▶ In adult conversations, questions are used to:
 - ▶ Seek information
 - ▶ Seek addressee's commitment to some proposition
 - ▶ Seek responses
- ▶ But when parents talk to pre-linguistic infants who might not be able to respond to utterances, the pragmatics of parents' questions might be different.

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Puzzle: part 4

(25) Is that Bert?

(26) That's Bert!

- ▶ Even if children can identify questions, to use speech act information to label groups of sentences, there needs to be a straightforward mapping between speech act and clause types.

Challenge

But the mapping between clause types and speech acts **might** be noisy:

- (27) Questions can be expressed by all kinds of clauses:
- | | |
|-----------------------------|---------------|
| a. Is it snowing? | Interrogative |
| b. It's snowing? | Declarative |
| c. Tell me if it's snowing! | Imperative |

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- ▶ They need to figure out which morph-syntactic features are associated with interrogatives (clustering problem);
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Developmental timeline

In months:



- ▶ **< 6 mo: Gaze following only after communicative signals**
- ▶ 12 mo: English-speaking infants show sensitivity to differences in word order
- ▶ 18 mo: Children give correct responses to parents' *who*, *what*, *where* questions
- ▶ 20 mo: English-speaking children start producing interrogatives (mostly polar and *what*, *who*, *where* questions)
- ▶ 24 mo: Anticipate speaker change after interrogatives
- ▶ >3yo: Understand clause type-speech act mismatches; treat rising declaratives as questions

Geffen & Mintz 2015; Tyack & Ingram 1977; Stromswold 1995; Rowland et al. 2003; Casillas & Frank 2013, 2017, Senju & Csibra 2008, Goodhue et al. 2020, among many others

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Research question

Despite all the challenges, infants seem to know interrogatives and questions around 18 months old;

- ▶ How do children learn to identify different clause types and speech acts (especially **interrogatives** and **questions**), and the mapping between the two?

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Patterns in the input

What is the input like?

- ▶ The morpho-syntactic features
- ▶ Non-linguistic features

- ▶ Providence Corpus, CHILDES
- ▶ Age Range: 11-18 months
- ▶ Both transcript and video
- ▶ Sample: One session per month within the age range, 30min/500 utterances in each session (current size: 12h of video/7208 parent utterances)

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Annotation process

- ▶ Transcript: clause type, speech act, formal features of each utterance
- ▶ Audio: A subset of utterances were manually aligned using PHON; the rest were forced-aligned using Kaldi
- ▶ Video: on a second-by-second basis, parents' attentional behaviors toward the child using ELAN, without consulting the transcript

Formal features

Morpho-syntactic features that potentially could be identified by infants 18 m.o. or younger

+/- Subject, object

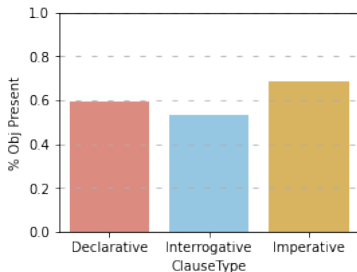
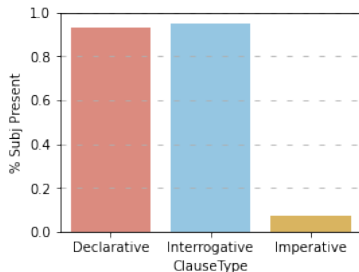
Infants around 18mo can identify subjects and objects of a sentence; but they are not able to represent a fronted *wh* as the object

(28) (+) I'll take it. Declarative

(29) (-) Take it. Imperative

(30) (+) find it!

(31) (-) What did you find?



+/- Verbs, auxiliaries

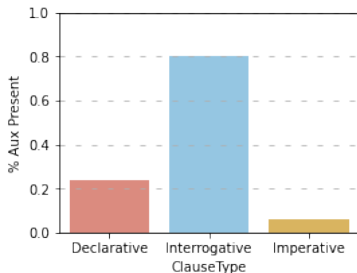
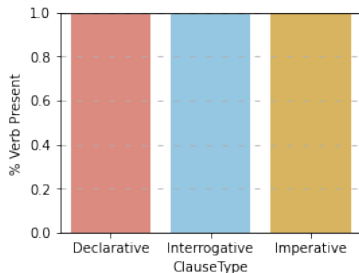
Infants around 18mo are able to identify verbs and auxiliaries in their language

(32) (+) Find Elmo!

(33) (-) Elmo!

(34) (+) Can you find it?

(35) (-) I found it!

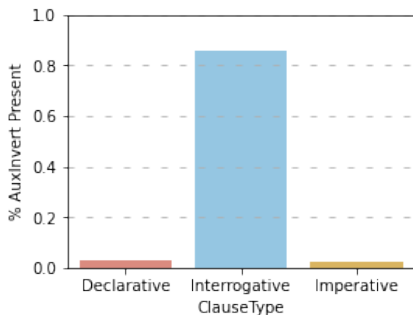


+/- Verbs, auxiliaries

Infants around 18mo are able to represent the relative position of the subject and the verb

(36) (+) Can you find the ladybug? **Interrogative**

(37) (-) I can take it. **Declarative**



Unknown functional items

Infants around 18mo might not know *wh*-items, quantifiers, conjunctors (except for *and*), but they might be able to represent them as an unknown functional item.

Occur sentence-medially, but before verbs

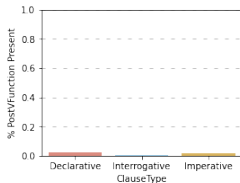
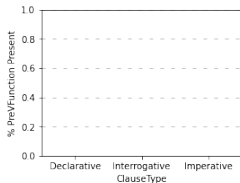
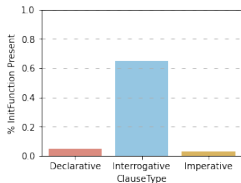
Occur sentence-initially

(38) What did you find?

(39) raccoon **only** comes out at night

Occur after verbs

(40) I know **what's** wrong.



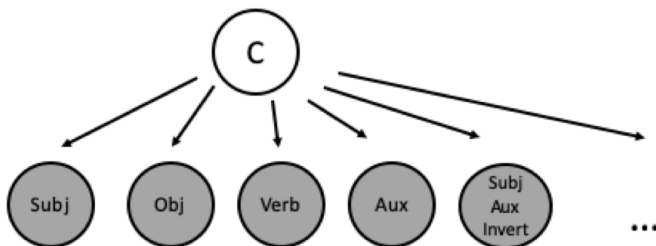
Formal features: results

	Interrogative	Declarative	Imperative
Subject	+	+	-
Object	no effect	-	no effect
Verb	+	+	+
Aux	+	no effect	no effect
Subj-Aux inversion	+	-	-
Initial Item	+	-	-
PreV Item	no effect	no effect	no effect
PostV Item	no effect	no effect	no effect

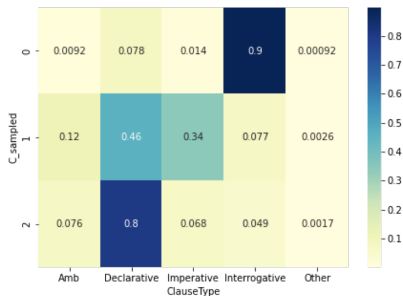
- ▶ But can infants learn the clause type categories with these formal features?

Unsupervised learner

Assume that children have to discover 3 clause types with data from the above formal features:

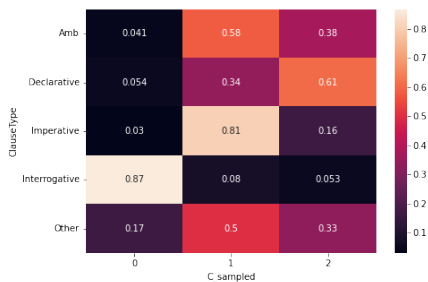
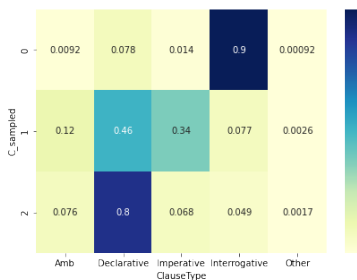


Unsupervised learning: results



- ▶ The learner is able to identify a cluster for declaratives and one for interrogatives

Unsupervised learner: results



- ▶ The majority of interrogatives is put into one cluster by the learner

Formal features of each learned category

The learner is able to identify the correct set of formal features relevant for identifying clause types:

Cluster	Features
0 (90% interrogative)	with subj, verb, aux, subj-Aux Inversion, S-initial unknown function word
1 (Declarative/imperative)	without aux, inversion, unknown function words
2 (90% declarative)	with subj, obj, verb; without aux, inversion, or functional words

Interim conclusion

The input to infants around 18 months old are informative enough for them to find three groups of sentences

- ▶ The majority of interrogatives in parents' speech follow the same pattern: with subject-aux inversion, and sentence-initial unknown functional category

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Recap the challenges

- ▶ They need to figure out which morph-syntactic features are associated with interrogatives (clustering problem); ✓
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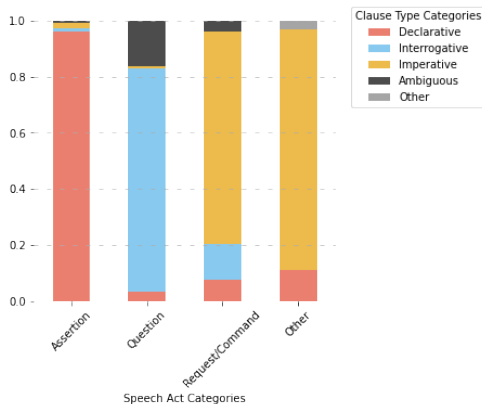
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Mapping

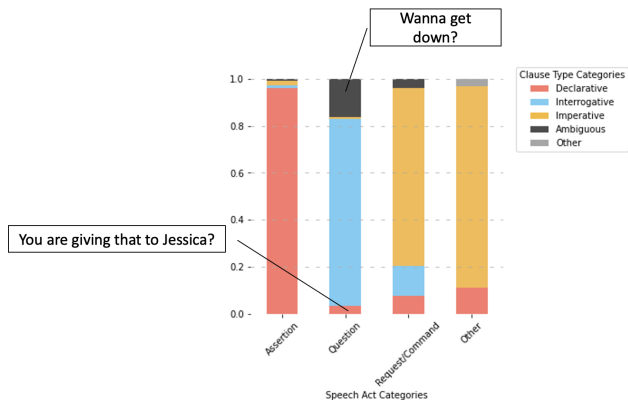
Is the mapping between speech acts and clause types messy?

- ▶ Speech acts are predominantly realized by their canonical clause type:



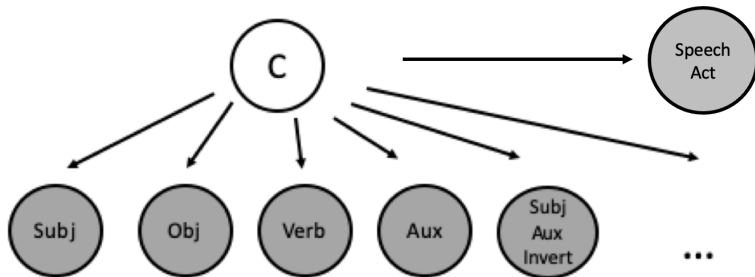
Mapping

Examples of mismatches:

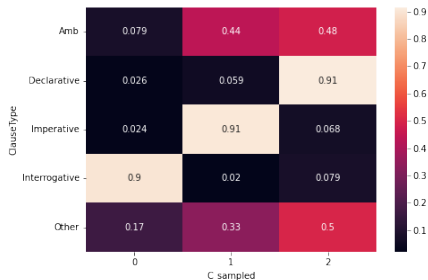
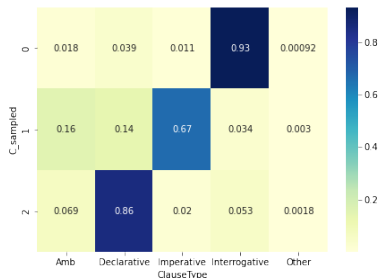


Unsupervised Learner

Assume that infants have to discover 3 clause types with data from the above formal features, and that they know the speech act category of each utterance:



Unsupervised learner: results



- ▶ The accuracy improves with Speech Act information
- ▶ The learner is able to cluster the sentences into three groups roughly corresponding to Declarative, Interrogative, and Imperative sentences

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→ Are there any informative non-linguistic features?
 - ▶ The mapping between speech act and clause type is consistent enough that if children could identify speech act information independently, they are able to cluster and label the groups of sentences

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Non-linguistic features

Information in the pragmatics of social interaction might be helpful for identifying the speech act of the sentence

- ▶ Eye gaze pattern of the parent
- ▶ Length of pauses after an utterance

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Eye gaze

- ▶ In social interactions, question → someone takes up the next turn
- ▶ Eye gaze → speaker wants that person to take up the turn
- ▶ But do parents still try to pass the turn to the child when the child is pre-linguistic?

Eye gaze

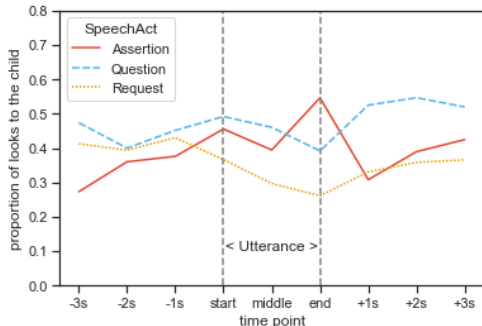
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Eye gaze

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Eye gaze: results

- ▶ Parents behave as if the child can talk: they look at the child longer after questions to appoint the child as the next speaker in turn



Speech gap

- ▶ Questions → elicit responses
- ▶ Pauses after utterances → the speaker wants someone to take up the turn
- ▶ But would parents still pause if the other speaker is pre-linguistic, and hence might not be able to respond?

Consecutive turn sequences:

(41) Alex's mother: Who's that? [pause] Is that the postman?

Speech gap

- ▶ Questions → elicit responses
- ▶ Pauses after utterances → the speaker wants someone to take up the turn
- ▶ But would parents still pause if the other speaker is pre-linguistic, and hence might not be able to respond?

Consecutive turn sequences:

(42) Alex's mother: Who's that? [pause] Is that the postman?

Speech gap

- ▶ Questions → elicit responses
- ▶ Pauses after utterances → the speaker wants someone to take up the turn
- ▶ But would parents still pause if the other speaker is pre-linguistic, and hence might not be able to respond?

Consecutive turn sequences:

(43) Alex's mother: Who's that? [pause] Is that the postman?

Speech gap

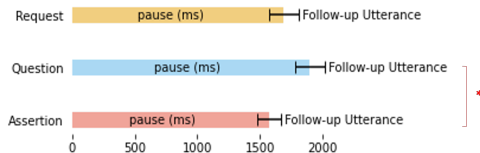
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(44) Alex's mother: Who's that? [pause] Is that the postman?

Speech gap: Results

- ▶ Parents behave as if the children can talk: they pause longer after questions to solicit answers



Interim summary

Infants might be able to use non-linguistic cues like speech gap and parents' eye gaze to identify speech acts

- ▶ Currently working on an unsupervised learner to use both formal and non-linguistic features to identify clause types and speech acts

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Recap the challenges

- ▶ They need to figure out which morph-syntactic features are associated with interrogatives (clustering problem); ✓
- ▶ They need to figure out that one of the groups of the sentences identified could be labeled as interrogatives (labeling problem);
 - ▶ To solve the labeling problem, they might need to use speech act information, but adults use clause type information to figure out speech acts → circular;
How do children “bootstrap” into identifying speech act and clause type categories?
 - ▶ There is information in the input that might be helpful for identifying speech acts
 - ▶ The mapping between speech act and clause type is consistent enough that if children could identify speech act information independently, they are able to cluster and label the groups of sentences

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The Pragmatic Syntactic Bootstrapping Hypothesis

Children learn to identify speech act (question) and clause type (interrogative) in tandem and mutually informative ways:

- ▶ track formal, prosodic, and non-linguistic features in parents' speech
- ▶ learn to identify interrogatives by tracking formal regularities in conjunction with their growing knowledge of questionhood and its associated non-linguistic cues;
- ▶ learn to identify questions by tracking non-linguistic cues in conjunction with their growing understanding of interrogative syntax.

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On-going work

- ▶ Prosody
- ▶ We assumed that the unsupervised learner already know there are 3 clause type categories; what if they have to learn the number of categories?
- ▶ Build the syntactic-pragmatic bootstrapping learner

Thanks!

- ▶ Mina Hirzel, Anouk Dieuleveut, Tyler Knowlton, Adam Liter, Rachel Rudinger, Naomi Feldman, Thomas Schatz, Alexander Williams
- ▶ Audiences at UMD LSLT, Acquisition Lab Meeting, General Meeting
- ▶ Our awesome undergraduate RAs: James Burns, Xiaoyu Yang, Ziqing Ji, Rin Gourianova, Luke Burger, Avni Gulrajani

Questions?

- ▶ Slides are posted online at:
`yu-an.github.io/projects`
- ▶ You can also email me:
`yang.yu.an.06@gmail.com`

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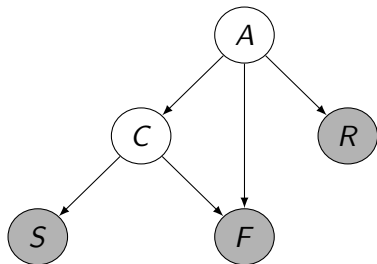
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Graphical Model



- ▶ A: Speech Acts
- ▶ C: Clause Types
- ▶ S: Syntactic features (feature bundle)
- ▶ R: Pragmatic features (feature bundle)
- ▶ F: prosodic features

- ▶ We want to jointly infer A and C given S, R, and F through Gibbs sampling.